AI WORK AUTHORIZATION TOOLKIT

ADJUDICATION PROTOCOL

REFERENCE v4.2

Purpose: define and demonstrate a stable, independent method for classifying critical omissions before that classification is used to support an authorization claim from a protected holdout evaluation.

Reference operating aid. Adapt and approve within the applicable quality system before use. This public preview is protected against editing. Edit access and adaptation instructions are supplied with the licensed package.

1. Protocol identity and intended use

Control element	Protocol record
Protocol ID / version	[Enter controlled identifier and version]
Workflow and Gate Decision Record	[Workflow ID; Gate Decision Record ID and version]
Critical-omission decision supported	[State the exact authorization claim and acceptance criterion]
Record population and case drawing	[Population, inclusion and exclusion rules, sampling method, source versions]
Owners and approval	[Evaluation owner; quality owner; approval reference and date]

2. Classification method frozen before calibration

Control element	Protocol record
Critical-omission definition	[Define the error or omission, consequence, and decision context]
Scoring rubric and worked examples	[Reference approved rubric; list positive and negative examples from the same record population]
Evidence hierarchy	[State how conflicting records are resolved and what evidence is controlling]
Disagreement process	[Describe independent review, retained rationale, tiebreaker, and resolution record]
Reliability acceptance criterion	[Pre-state raw agreement, positive specific agreement, and any supplemental chance-corrected measure]
Freeze confirmation	[Protocol version, date, approver, and statement that no holdout results were reviewed]

3. Adjudicator independence and calibration

Control element	Protocol record
Adjudicator 1	[Name, role, qualification, conflict statement]
Adjudicator 2	[Name, role, qualification, conflict statement]
Independence and blinding	Neither adjudicator built, configured, tuned, selected evidence for, owned, or is accountable for the workflow result. Both are blinded to condition and to each other's decision.
Calibration collection	[Separate collection ID, seeded critical-omission basis, count, and evidence location]
Calibration results	[Raw agreement; positive specific agreement; supplemental measure if used; criterion met/not met]
If criterion not met	Revise and recalibrate outside the protected holdout. Record the change, rationale, and new protocol version.

4. Protected holdout application and decision record

Control element	Protocol record

Holdout separation check	[Confirm calibration cases are excluded and no holdout results were read before freeze]
Independent decisions retained	[Location of each decision, rationale, and date]
Disagreements and tiebreaker	[Tiebreaker name, independence statement, resolution, and rationale]
Post-freeze change control	[None, or controlled change reference and full-rescoring decision]
Authorization evidence statement	The critical-omission classification method was stable enough for the authorized use before the frozen method was applied to the untouched holdout.
Sign-off	[Evaluation owner, quality owner, date, controlled approval reference]

Use boundary

This protocol does not itself authorize a workflow. The accountable process and quality owners record any authorization, restriction, or withdrawal in the Gate Decision Record under the applicable quality system.

Version history

v4.2 | September 21, 2026 | Initial issue as the eighth operating artifact in the AI Work Authorization Toolkit.